



*EMCS-Sistema de Control de Movimientos de
Impuestos Especiales.*

FASE 3.2

Lista de Condiciones y Reglas

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Revisiones

Edi.	Rev.	Fecha	Descripción	A(*)	Páginas
3	0	27/06/2011	Versión inicial	A	Todas
3	1	25/10/2011	Se añaden las condición C161, C162 y C163	A	Todas
3	2	23/04/2013	Se añade la condición C164	A	Todas
3	3	01/10/2015	Adaptaciones a la Fase 3.2 del EMCS	R	Todas
3	4	28/01/2016	Corrección de errata: Añadida la condición C165	R	-

(*) Acción: A= Añadir; R= Reemplazar

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1. Introducción:

Este documento forma parte de la documentación técnica para la construcción de los mensajes referidos al documento de acompañamiento, en el marco del proyecto EMCS (Excise Movement Control System)- sistema de control de los movimientos de impuestos especiales. Contiene las reglas y condiciones que se aplican a cada uno de los campos a declarar en los mensajes.

Esta listas están contenidas en las especificaciones funcionales del proyecto EMCS disponibles en:

http://ec.europa.eu/taxation_customs/taxation/excise_duties/circulation_control/index_en.htm

2. Control de Versiones:

2.1. Cambios de la versión 3.4

Corrige la errata detectada añadiendo la condición C165 que había sido omitida.

2.2. Cambios de la Fase 3.2 - versión 3.3 (1-octubre-2015):

En la lista de condiciones se han realizado los siguientes cambios:

- Se redennominan las siguientes condiciones pasando a ser identificadas con nuevo código pero conservando su mismo contenido:

Anterior	Nuevo	Anterior	Nuevo	Anterior	Nuevo	Anterior	Nuevo
C161	CE01	C162	CE02	C163	CE03	C164	CE04

- Se redactan las condiciones C161, C162 y C164 con nuevo contenido (*el anterior contenido pasa a las CE01, CE02 y CE04 respectivamente*).
- Se añaden las condiciones C159 y C180.
- Se eliminan las condiciones C094, C141, C146.

En la lista de reglas se han realizado los siguientes cambios:

- Se especifican dos anotaciones en la regla R045 si que alteren significativamente su contenido
- Se añaden las reglas R215, R216 y R232.

En la lista de reglas técnicas se han realizado los siguientes cambios:

- Se elimina la regla técnica TR9060.

2.3. Cambios de la versión 3.2

- Se añaden las condiciones C164.

2.4. Cambios de la versión 3.1

- Se añaden las condiciones C161, C162 y C163.



2.5. Cambios de la versión 3.0

En la lista de condiciones se han producido los siguientes cambios:

- Se eliminan las condiciones C015, C021, C069, C075, C089, C138 y C140.
- Se modifican las condiciones C002, C047, C118, C144 y C145.
- Se añaden las condiciones C032, C033, C037, C039, C053, C054, C055, C056, C058, C059, C061, C062, C063, C065, C067, C071, C076, C080, C082, C085, C090, C091, C104, C120, C121, C122, C124, C125, C126, C127, C128, C129, C130, C131, C132, C133, C142, C143, C146, C147, C148, C149, C150, C151, C152, C153, C154, C155 y C156.

En la lista de reglas se han producido los siguiente cambios:

- Se eliminan las reglas R010, R012, R019, R034, R035 y R116.
- Se modifican las reglas R026, R027, R028, R196, R204 y R205.
- Se añaden las reglas R071, R083, R086, R091, R093, R098, R103, R109, R156, R194 y R211.

En la lista de reglas técnicas se han producido los siguientes cambios:

- Se eliminan las reglas TR0108, TR0202, TR0203, TR0215 y TR9116.
- Se modifican las reglas TR0104 y TR9121.

3. LISTA DE CONDICIONES:

Código	Descripción
C002	IF corresponding free text field is used THEN '_LNG' = 'R' ELSE does not apply (The language of the address attributes is expressed by NAD_LNG)
C006	At least one, among these two fields: - <Document Description> - <Reference of Document>
C009	IF the <Operator Type Code> of the Authorised Trader is "Authorised warehouse keeper" THEN <TAX WAREHOUSE> is 'R' ELSE <TAX WAREHOUSE> does not apply
C010	IF <Destination Type Code> is in: - "Destination - Tax warehouse" - "Destination - Registered consignee" - "Destination - Temporary registered consignee" - "Destination - Direct delivery" THEN <TRADER Consignee.Trader Identification> is 'R' ELSE IF <Destination Type Code> is: - "Destination - Export" THEN <TRADER Consignee.Trader Identification> is 'O' ELSE <TRADER Consignee.Trader Identification> does not apply
C012	IF <E-AD.Origin Type Code> is "Origin - Tax warehouse" THEN <TRADER Place of Dispatch> is 'R' <OFFICE of Dispatch - Import> does not apply ELSE <TRADER Place of Dispatch> does not apply <OFFICE of Dispatch - Import> is 'R'



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Código	Descripción
C013	<p>IF <Destination Type Code> is "Unknown destination" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE IF <Destination Type Code> is "Destination - Export" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> is 'R' ELSE IF <Destination Type Code> is "Destination - Exempted consignee" or "Destination – Registered consignee" or "Destination - Temporary registered consignee" THEN <(DELIVERY PLACE) TRADER> is 'O' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE <(DELIVERY PLACE) TRADER> is 'R' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply</p>
C017	<p><TRADER Guarantor> is either forbidden or required; possibly, two instances of the Data Group are required, according to the value of <Guarantor Type Code> as detailed in the following table. The meaning of the right column is: 0: the Data Group does not apply 1: the Data Group is required with exactly one instance 2: the Data Group is required with exactly two instances</p> <p>Value of <Guarantor Type Code>:No. 1 = Consignor: 0 2 = Transporter: 1 3 = Owner of the Excise products: 1 4 = Consignee: 0 12 = Joint guarantee of the consignor and of the transporter: 1 13 = Joint guarantee of the consignor and of the owner of the Excise products: 1 14 = Joint guarantee of the consignor and of the consignee: 0 23 = Joint guarantee of the transporter and of the owner of the Excise products: 2 24 = Joint guarantee of the transporter and of the consignee: 1 34 = Joint guarantee of the owner of the Excise products and of the consignee: 1 123 = Joint guarantee of the consignor, of the transporter and of the owner of the Excise products: 2 124 = Joint guarantee of the consignor, of the transporter and of the consignee: 1 134 = Joint guarantee of the consignor, of the owner of the Excise products and of the consignee: 1 234 = Joint guarantee of the transporter, of the owner of the Excise products and of the consignee: 2 1234 = Joint guarantee of the consignor, of the transporter, of the owner of the Excise products and of the consignee: 2</p>
C032	<p>IF <E-AD Rejected flag> is True THEN <ALERT OR REJECTION OF E-AD REASON Code> is 'R' ELSE <ALERT OR REJECTION OF E-AD REASON Code> is 'O'</p>
C033	<p>IF <Finding at Destination> is "Other finding" THEN <Other Finding Type> is 'R' ELSE <Other Finding Type> does not apply</p>
C035	<p>IF <History Request Scope Type> is "Complete history of data" THEN <Scope Date> does not apply ELSE <Scope Date> is 'R'</p>
C037	<p>IF <Archives Used flag> is False THEN <Archives Refusal Reason Code> is 'R' ELSE <Archives Refusal Reason Code> does not apply</p>
C039	<p>IF <Performed Control Action> is "Other control action" THEN <Other Control Action> is 'R' ELSE <Other Control Action> does not apply</p>
C044	<p>IF <Request Type> is "Request for reference data" THEN <Request Message Name> is 'R' ELSE <Request Message Name> does not apply</p>
C045	<p>IF <Category of Wine Product> is "Imported wine" THEN <Third Country of Origin> is 'R' ELSE <Third Country of Origin> does not apply</p>



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Código	Descripción
C047	<p>IF <EXCISE PRODUCT.Alcoholic Strength Applicability> is "Yes" THEN <Alcoholic Strength> is 'R' if the excise product code is not B000 <Alcoholic Strength> is 'O' if the excise product code is B000 ELSE <Alcoholic Strength> does not apply</p> <p><i>where <EXCISE PRODUCT.Alcoholic Strength Applicability> is the indicator (associated to each excise product) retrieved from the list of <EXCISE PRODUCT>.</i></p>
C048	<p>IF <EXCISE PRODUCT.Degree Plato Applicability> is "Yes" THEN <Degree Plato> is 'O' (*) ELSE <Degree Plato> does not apply</p> <p><i>where <EXCISE PRODUCT.Degree Plato Applicability> is the indicator (associated to each excise product) retrieved from the list of <EXCISE PRODUCT>.</i></p> <p><i>(*) Member States of Dispatch taxing beer according to the degree Plato may impose that <Degree Plato> has to be 'R'.</i></p>
C049	<p>IF <EXCISE PRODUCT.Density Applicability> is "Yes" THEN <Density> is 'R' ELSE <Density> does not apply</p> <p><i>where <EXCISE PRODUCT.Density Applicability> is the indicator (associated to each excise product) retrieved from the list of <EXCISE PRODUCT>.</i></p>
C050	<p>IF <Request Type> is "Request for reference data" THEN <REF_REQUEST> is 'R' ELSE <REF_REQUEST> does not apply.</p>
C051	<p>IF <Request Type> is "Request for download of the full register of economic operators" or "Request for reference data" THEN <REFERENCE SYNCHRONISATION> is 'R' ELSE <REFERENCE SYNCHRONISATION> does not apply.</p>
C052	<p>IF <Request Type> is "Request for retrieval of a list of e-ADs" THEN <E-AD LIST REQUEST> is 'R' ELSE <E-AD LIST REQUEST> does not apply</p>
C053	<p>IF <Request Type> is "Request for SEED statistics" THEN <STA_REQUEST> is 'R' ELSE <STA_REQUEST> does not apply</p>
C054	<p>IF <Request Type> is "Request for SEED statistics" THEN <STA_PERIOD> is 'R' ELSE <STA_PERIOD> does not apply</p>
C055	<p>IF <ACO Request Type> is "Administrative cooperation" THEN <ACO_REQUEST> is 'R' ELSE <ACO_REQUEST> does not apply</p>
C056	<p>IF <Request Type> is "Request for history" THEN <HISTORY REQUEST> is 'R' ELSE <HISTORY REQUEST> does not apply</p>
C058	<p>IF <Message Type> is "Complementary submission" THEN <Place of Event> does not apply ELSE <Place of Event> is 'R'</p>
C059	<p>IF <Type> is "Scheduled" THEN <Downtime TO> is 'R' ELSE <Downtime TO> is 'O'</p>
C061	<p>IF <History Refusal Reason Code> is given THEN <Deadline for Results> does not apply <Delayed Result Reason Code> does not apply ELSE <Deadline for Results> is 'R' <Delayed Result Reason Code> is 'R'</p>
C062	<p>IF <Message Type> is "History answer message" THEN <History Refusal Reason Code> is 'O' ELSE <History Refusal Reason Code> does not apply</p>



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C063	IF <Submitter Type> is "Consignor" THEN <TRADER Consignor> is 'R' <OFFICE of Dispatch - Import> is 'O' <TRADER Consignee> does not apply ELSE <TRADER Consignor> does not apply <OFFICE of Dispatch - Import> does not apply <TRADER Consignee> is 'R'
C065	IF <Body Record Unique Reference> is given THEN <Indicator of Shortage or Excess> is 'R' <Observed Shortage or Excess> is 'R' ELSE <Indicator of Shortage or Excess> does not apply <Observed Shortage or Excess> does not apply
C066	IF <Sequence Number> is 1 THEN <Date and Time of Update Validation> is equal to <EXCISE MOVEMENT e-AD.Date and Time of Validation> ELSE <Date and Time of Update Validation> is equal to the date of the change of destination
C067	IF <Indicator of Shortage or Excess> is given THEN <Observed Shortage or Excess> is 'R' ELSE <Observed Shortage or Excess> does not apply
C071	IF <ACO Action Not Possible Reason Code> is given THEN IF <ACO Action Not Possible Reason Code> is "Other" THEN <ACO Action Not Possible Reason Complement> is 'R' ELSE <ACO Action Not Possible Reason Complement> is 'O' ELSE <ACO Action Not Possible Reason Complement> does not apply
C073	IF <Countable flag> (in the list of codes "PACKAGING CODE") associated to <Kind of Packages Code> is set THEN <Number of Packages> is 'R' ELSE <Number of Packages> does not apply.
C074	IF <Destination Type Code> is "Destination - Tax warehouse" THEN <TRADER Place of Delivery.Trader Identification> is 'R' ELSE IF <Destination Type Code> is "Destination - direct delivery" THEN <TRADER Place of Delivery.Trader Identification> does not apply ELSE <TRADER Place of Delivery.Trader Identification> is 'O'.
C076	IF <Trader Name> is given AND <Trader Excise Number> is not given AND <VAT Number> is not given THEN <Member State Code> is 'R' ELSE <Member State Code> does not apply
C078	IF <Destination Type Code> is "Destination - Tax warehouse" THEN <Street Name> is 'O' <Postcode> is 'O' <City> is 'O' ELSE <Street Name> is 'R' <Postcode> is 'R' <City> is 'R'
C079	IF <Destination Type Code> is "Destination - Direct delivery" THEN <Trader Name> is 'O' ELSE <Trader Name> is 'R'
C080	IF <message type> is "Initial submission" THEN <Event Report Number> does not apply IF (MS of submission is not equal to MS of event) THEN <MS of Submission Event Report Reference> is 'R' ELSE <MS of Submission Event Report Reference> is 'O' IF <message type> is "Validated document" THEN <Event Report Number> is 'R' IF (MS of submission is not equal to MS of event) THEN <MS of Submission Event Report Reference> is 'R' ELSE <MS of Submission Event Report Reference> is 'O' IF <message type> is "Complementary submission" THEN IF <Event Report Number> is given THEN <MS of Submission Event Report Reference> does not apply ELSE <MS of Submission Event Report Reference> is 'R'.



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C082	IF <Notification Type> is "Splitting" THEN <Downstream ARC> is 'R' ELSE <Downstream ARC> does not apply.
C084	IF <Destination Type Code> is "Destination - Exempted consignee" THEN <TRADER COMPLEMENT Consignee> is 'R' ELSE <TRADER COMPLEMENT Consignee> does not apply.
C085	At least one of the following attributes must be present: - <Trader Excise Number> - <VAT Number> - <Trader Name>
C090	IF <Reason for Control> is "Event signalled" THEN <EVIDENCE OF EVENT> is 'R' ELSE <EVIDENCE OF EVENT> does not apply
C091	IF <Control Office Reference Number> is given THEN <Member State Code> does not apply <Control Office Name> does not apply <Street> does not apply <Number> does not apply <Postcode> does not apply <City> does not apply ELSE <Member State Code> is 'R' <Control Office Name> is 'R' <Street> is 'R' <Number> is 'O' <Postcode> is 'R' <City> is 'R'
C095	IF <Global Conclusion of Receipt> is "4 = Receipt partially refused" THEN <Refused Quantity> is 'O' ELSE <Refused Quantity> does not apply.
C096	IF <Origin Type Code> is "2 = Origin - Import" THEN <IMPORT SAD> is 'R' ELSE <IMPORT SAD> does not apply.
C097	IF <Origin Type Code> is "2 = Origin - Import" THEN <IMPORT SAD> is 'O' ELSE <IMPORT SAD> does not apply.
C098	Either one <N_EAD_SUB> Data Group (rejection at import) or one or several <C_EAD_VAL> Data Groups (rejection at export) must be given.
C101	IF <Trader Excise Number> is given THEN <Trader Name> is 'O' <Street Name> is 'O' <Postcode> is 'O' <City> is 'O' ELSE <Trader Name> is 'R' <Street Name> is 'R' <Postcode> is 'R' <City> is 'R'
C102	IF <E-AD Header.Transport Arrangement> is "Consignor" or "Consignee" THEN <TRADER Transport Arranger> does not apply ELSE <TRADER Transport Arranger> is 'R'.
C103	IF <E-AD Update.Changed Transport Arrangement> is not given THEN <TRADER New Transport Arranger> does not apply ELSE IF <E-AD Update.Changed Transport arrangement> is "Consignor" or "Consignee" THEN <TRADER New Transport Arranger> does not apply ELSE <TRADER New Transport Arranger> is 'R'.
C104	IF <E-AD Split Details.Changed Transport Arrangement> is not given THEN <TRADER New Transport Arranger> does not apply ELSE IF <E-AD Split Details.Changed Transport arrangement> is "Consignor" or "Consignee" THEN <TRADER New Transport Arranger> does not apply ELSE <TRADER New Transport Arranger> is 'R'.



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C105	IF <Destination Type Code> is "Destination - Export" THEN <OFFICE of Destination> does not apply ELSE <OFFICE of Destination> is 'R'.
C116	IF <Message Type> is "Submission for export (local clearance)" OR <Destination Type> is "8: Unknown destination (consignee unknown)", THEN <TRADER Consignee> does not apply ELSE <TRADER Consignee> is 'R'.
C117	IF <Rejection Reason Code> is "The contents of the e-AD does not match with export data" THEN <EXPORT CROSS CHECKING DIAGNOSES> is 'R' ELSE <EXPORT CROSS CHECKING DIAGNOSES> does not apply.
C118	IF <E-AD Header.Destination Type Code> is "Destination - Export" THEN <(DELIVERY PLACE) TRADER> does not apply ELSE IF <E-AD Header.Destination Type Code> is "Destination - Exempted consignee" or "Destination – Registered consignee" or "Destination - Temporary registered consignee" THEN <(DELIVERY PLACE) TRADER> is 'O' ELSE <(DELIVERY PLACE) TRADER> is 'R'
C119	IF <Global Conclusion of Receipt> is "4: Receipt Partially Refused" THEN at least one positive (greater than zero) <REPORT OF RECEIPT/EXPORT Body.Refused quantity> shall be present.
C120	IF <EVENT REPORT.Changed Transport Arrangement> is not given THEN <TRADER New Transport Arranger> does not apply ELSE IF <EVENT REPORT.Changed Transport arrangement> is "Consignor" or "Consignee" THEN <TRADER New Transport Arranger> does not apply ELSE <TRADER New Transport Arranger> is 'R'
C121	IF <TRADER New Transport Arranger> is given OR <TRADER New Transporter> is given OR <TRANSPORT DETAILS> is given THEN <EVENT REPORT Body> is 'O' ELSE <EVENT REPORT Body> is 'R'
C122	IF <Administrative Cooperation Action Code> is "Other" THEN <ACO Action Complement> is 'R' ELSE <ACO Action Complement> is 'O'
C124	IF <Archives Refusal Reason Code> is "Other" THEN <Archives Refusal Reason Complement> is 'R' ELSE <Archives Refusal Reason Complement> does not apply
C125	IF <History Refusal Reason Code> is "Other" THEN <History Refusal Reason Complement> is 'R' ELSE <History Refusal Reason Complement> does not apply
C126	IF <Unsatisfactory Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'
C127	IF <Transport Mode Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> does not apply
C128	IF <Explanation Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'
C129	IF <Submitting Person Code> is "Other" THEN <Submitting Person Complement> is 'R' ELSE <Submitting Person Complement> is 'O'
C130	IF <Event Type Code> is "Other" THEN <Associated Information> is 'R' ELSE <Associated Information> is 'O'
C131	IF <Administrative Cooperation Request Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'



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C132	IF <Evidence Type Code> is "Other" THEN <Evidence Type Complement> is 'R' ELSE <Evidence Type Complement> does not apply
C133	The three following data fields are optional and exclusive: - <Semester> - <Quarter> - <Month> <i>i.e. If one of these data fields is given then the two other data fields do not apply.</i>
C139	At least one of the <EXCISE PRODUCTS CATEGORY> or <EXCISE PRODUCT> Data Groups must be present.
C142	At least one, among these three fields: - <Short Description of Document> - <Reference of Document> - <Image of Document>
C143	IF <Interruption Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'
C144	IF <message type> is "Initial submission" or "Validated document" THEN <ARC> is 'R' <Excise Office Reference Number> is 'R' <Member State of Event> is 'R' ELSE <ARC> does not apply <Excise Office Reference Number> does not apply <Member State of Event> does not apply
C145	IF <message type> is "Initial submission" or "Validated document" THEN <EVENT REPORT> is 'R' ELSE <EVENT REPORT> is 'O'
C147	At least one of the following attributes must be present: - <MRN Export> - <LRN Export>
C148	IF <Message Type> of all concerned e-Ads is "Submission for export (local clearance)" THEN <TRADER Consignee> does not apply ELSE <TRADER Consignee> is 'R'
C149	The field is required after successful validation, otherwise it is not applicable
C150	The Data Items marked with this condition are required for rejecting IE810, IE813, IE818, IE837 messages. They must not be present for rejecting an IE815 message.
C151	The Local Reference Number Data Item is required for rejecting IE815 message. It must not be present for rejecting all other messages.
C152	IF <EXCISE PRODUCT.Degree Plato Applicability> is "Yes" THEN at least one of <Degree Plato> or <Alcoholic Strength> must be given.
C153	IF <Guarantor Exemption flag> is anything but 1 at least one <(BODY) ANALYSIS> shall be present Otherwise <(BODY) ANALYSIS> is not applicable.
C154	IF <Cancellation Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'.
C155	IF <Message Type> is "Submission for export (local clearance)" THEN <TRADER Consignee> does not apply ELSE <TRADER Consignee> is 'R'.
C156	IF <Transport Unit Code> is "5: Fixed transport installations" THEN <Identity of Transport Units> does not apply ELSE <Identity of Transport Units> is 'R'
C159	IF <Global Conclusion of Receipt> is anything but "1: Receipt accepted and satisfactory" and "21 = Exit accepted and satisfactory" THEN at least one <UNSATISFACTORY REASON> shall be present Otherwise, the <UNSATISFACTORY REASON> is not applicable



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C161	IF <Alert or Rejection of E-AD Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'
C162	IF <Transport Mode Code> is given and <Transport Mode Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> does not apply
C164	IF <Destination Type Code> is "Unknown destination" or "Destination - Registered consignee" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE IF <Destination Type Code> is "Destination - Export" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> is 'R' ELSE IF <Destination Type Code> is "Destination - Exempted consignee" or "Destination - Temporary registered consignee" THEN <(DELIVERY PLACE) TRADER> is 'O' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE <(DELIVERY PLACE) TRADER> is 'R' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply
C165	IF <Destination Type Code> is "Unknown destination" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE IF "Destination - Registered consignee" THEN <(DELIVERY PLACE) TRADER> is optional after successful validation, otherwise it is not applicable <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE IF <Destination Type Code> is "Destination - Export" THEN <(DELIVERY PLACE) TRADER> does not apply <(DELIVERY PLACE) CUSTOMS OFFICE> is 'R' ELSE IF <Destination Type Code> is "Destination - Exempted consignee" or "Destination - Temporary registered consignee" THEN <(DELIVERY PLACE) TRADER> is 'O' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply ELSE <(DELIVERY PLACE) TRADER> is 'R' <(DELIVERY PLACE) CUSTOMS OFFICE> does not apply
C180	IF <Destination Type Code> is "Destination – Export" THEN <TRADER Consignee.EORI Number> is 'O' ELSE <TRADER Consignee.EORI Number> does not apply
CE01	IF <Categoría de Excise Product Code> is "E" THEN <Biocarburantes> is 'O' ELSE <Biocarburantes> does not apply
CE02	IF <Epígrafe2> has content THEN <Epígrafe producto> is "R" <Cantidad> is 'R' <Cantidad2> is 'R' ELSE <Epígrafe producto> is "O" <Cantidad> is 'O' <Cantidad2> does not apply
CE03	IF <GlobalConclusionOfReceipt> is "3 - Receipt refused" or "11 – Export refused" or "23 – Exit refused" THEN <Epígrafe producto> does not apply <Cantidad> does not apply ELSE <Epígrafe producto> is "R" <Cantidad> is 'R'
CE04	IF <Epígrafe3> has content THEN <Epígrafe producto> is "R" <Cantidad> is 'R' <Epígrafe2> is 'R' <Cantidad2> is 'R' <Cantidad3> is 'R' ELSE <Epígrafe producto> is "O" <Cantidad> is 'O' <Epígrafe2> is 'O' <Cantidad2> is 'O' <Cantidad3> does not apply



EMCS – Sistema de Control de Movimientos de Impuestos Especiales

Lista de Condiciones y Reglas

Versión de este documento: 3.4

LISTA DE REGLAS:

Código	Descripción												
R007	<p>The IE consists of top level Data Groups. Each top level Data Group has its own Data Group ACTION which attributes apply to the top level Data Group and its subsequent sub Data Groups. The sender of the IE will always (in case of Create, Update and Invalidate) send all values of the occurrence.</p> <p>The <Operation> attribute is used to indicate what action is applied to the occurrence in the IE. Its value is: 'C' (create), 'U' (update), 'I' (Invalidate).</p> <p>The <Activation date> indicates when the operation will become applicable for the specific occurrence.</p>												
R026	<p>The possible values of <Operator Role Code> are: - 1 = Allowed to practise direct delivery - 2 = Allowed to leave empty the destination fields according to Article 15(6) of Directive 92/12/EEC</p> <p>The couplings <Operator Type / Operator Role Code> are as follows:</p> <table border="1"> <thead> <tr> <th>A / B</th> <th>C</th> <th>D</th> <th>E</th> </tr> </thead> <tbody> <tr> <td>Allowed to practise direct delivery</td> <td>X</td> <td>X</td> <td></td> </tr> <tr> <td>Allowed to leave empty the destination fields according to Article 15(6) of the Directive</td> <td>X</td> <td></td> <td></td> </tr> </tbody> </table> <p>Where: A: OPERATOR TYPE B: OPERATOR ROLE C: AUTHORISED WAREHOUSE KEEPER D: REGISTERED CONSIGNEE E: REGISTERED CONSIGNOR</p>	A / B	C	D	E	Allowed to practise direct delivery	X	X		Allowed to leave empty the destination fields according to Article 15(6) of the Directive	X		
A / B	C	D	E										
Allowed to practise direct delivery	X	X											
Allowed to leave empty the destination fields according to Article 15(6) of the Directive	X												
R027	An existing identifier (Excise Number) <Trader Excise Number> in the set of <TRADER AUTHORISATION>.												
R028	<p>The format of <Time To ...> or <Maximum Duration of ...> is expressed in days, months or years, with the format P999, where: - "P" is a letter in ("D" = Day, "M" = Month or "Y" = Year) - "999" is a three digits number</p>												
R030	The format of <ARC> is defined in "FESS Appendix B"												
R032	The format of <OFFICE> is defined in "FESS Appendix B".												
R038	<p>An existing identifier (Excise Number) <Trader Excise Number> in the set of <TRADER AUTHORISATION>.</p> <p>The <Operator Type Code> of the referred <TRADER AUTHORISATION> must be <Authorised Warehouse Keeper>.</p>												
R040	The format of <Temporary Authorisation Reference> is defined in "Appendix B"												
R044	<p>For TRADER Consignor</p> <p>----- An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION></p> <p>The <Operator Type Code> of the referred <TRADER> must be: - "Authorised warehouse keeper"; OR - "Registered consignor"</p> <p>For TRADER Place of dispatch</p> <p>----- An existing identifier <Tax Warehouse Reference> (Excise Number in SEED).</p>												



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Lista de Condiciones y Reglas

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Código	Descripción																					
R045	<p>The possible values of <Trader Identification> are described in the following table:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Destination Type Code</th> <th style="text-align: center;">TRADER CONSIGNEE. Trader Identification</th> <th style="text-align: center;">TRADER.Place of Delivery Trader Identification</th> </tr> </thead> <tbody> <tr> <td>1: Destination - Tax Warehouse</td> <td style="text-align: center;">Excise number (1)</td> <td style="text-align: center;">Tax Warehouse Reference (Excise Number) (5)</td> </tr> <tr> <td>2: Destination - Registered consignee</td> <td style="text-align: center;">Excise number (2)</td> <td style="text-align: center;">Any Identification (*)</td> </tr> <tr> <td>3: Destination - Temporary Registered consignee</td> <td style="text-align: center;">Temporary authorisation reference (4)</td> <td style="text-align: center;">Any Identification (*)</td> </tr> <tr> <td>4: Destination - Direct Delivery</td> <td style="text-align: center;">Excise Number (3)</td> <td style="text-align: center;">(Does not apply)</td> </tr> <tr> <td>5: Destination - Exempted consignee</td> <td style="text-align: center;">(Does not apply)</td> <td style="text-align: center;">Any Identification (*)</td> </tr> <tr> <td>6: Destination - Export</td> <td style="text-align: center;">VAT Number (optional)</td> <td style="text-align: center;">(The Data Group <TRADER Place of Delivery> does not exist)</td> </tr> </tbody> </table> <p>(1) The operator type of the consignee is "Authorised warehouse keeper" (2) The operator type of the consignee is "Registered consignee". (3) The operator type of the consignee is either "Authorised warehouse keeper" or "Registered consignee" (4) An existing <Temporary Authorisation Reference> in the list of <TEMPORARY AUTHORISATION>; (5) An existing identifier <Tax Warehouse Reference> in the set of <TAX WAREHOUSE>; (*) For the place of delivery, "Any identification" means: a VAT number or any other identifier; it is optional</p>	Destination Type Code	TRADER CONSIGNEE. Trader Identification	TRADER.Place of Delivery Trader Identification	1: Destination - Tax Warehouse	Excise number (1)	Tax Warehouse Reference (Excise Number) (5)	2: Destination - Registered consignee	Excise number (2)	Any Identification (*)	3: Destination - Temporary Registered consignee	Temporary authorisation reference (4)	Any Identification (*)	4: Destination - Direct Delivery	Excise Number (3)	(Does not apply)	5: Destination - Exempted consignee	(Does not apply)	Any Identification (*)	6: Destination - Export	VAT Number (optional)	(The Data Group <TRADER Place of Delivery> does not exist)
Destination Type Code	TRADER CONSIGNEE. Trader Identification	TRADER.Place of Delivery Trader Identification																				
1: Destination - Tax Warehouse	Excise number (1)	Tax Warehouse Reference (Excise Number) (5)																				
2: Destination - Registered consignee	Excise number (2)	Any Identification (*)																				
3: Destination - Temporary Registered consignee	Temporary authorisation reference (4)	Any Identification (*)																				
4: Destination - Direct Delivery	Excise Number (3)	(Does not apply)																				
5: Destination - Exempted consignee	(Does not apply)	Any Identification (*)																				
6: Destination - Export	VAT Number (optional)	(The Data Group <TRADER Place of Delivery> does not exist)																				
R051	An existing <Country Code> in the list of <COUNTRY> but not in the list of <MEMBER STATE> and except <Country Code> "GR"																					
R054	<p>The format of <Journey Time> or of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:</p> <ul style="list-style-type: none"> - "P" is a letter in ("H" = Hours or "D" = Day) - "99" is a two digits number <p>If P is "H" then the number is less or equal to 24 if P is "D" then the number is less or equal to 92.</p>																					
R058	Refers to <Body Record Unique Reference> of an e-AD Body AND must be unique within the message.																					
R059	The <Sequence Number> is an automatically generated sequential number, set to 1 at initial submission of the e-AD and then incremented of 1 upon each change of destination.																					
R060	The <Body Record Unique Reference> must be a unique sequential number starting from 1																					
R070	<p>The format of <Trader Excise Number> or <Tax Warehouse Reference> is defined in "FESS Appendix B".</p> <p>The <Trader Excise Number> must be unique in the list of <TRADER AUTHORISATION>. The <Tax Warehouse Reference> must be unique in the list of <TAX WAREHOUSE></p>																					
R071	The format of <Event Report Number> is defined in "FESS Appendix B"																					
R072	<p>The possible <Submitter Identification> contains:</p> <ul style="list-style-type: none"> - value of <Trader Excise Number> 																					
R083	The format of <Follow Up Correlation ID> is defined in "FESS Appendix B"																					
R086	<p>The <Operation> attribute is used to indicate what action is applied: 'C' (create), 'D' (delete).</p> <p>In case of "updates", the Data Group 'SYSTEM UNAVAILABILITY' to be updated will be first deleted and then (re-)created.</p>																					



EMCS – Sistema de Control de Movimientos de Impuestos Especiales

Lista de Condiciones y Reglas

Versión de este documento: 3.4

Código	Descripción
R091	Must be less than or equal to the <Observed Shortage>
R093	The format of <MS of Submission Event Report Reference> is: - 2 alphabetic characters: Identifier of the Member State of Submission of the event report - followed by a nationally assigned, unique code
R098	An existing <Event Report Number> in the set of <EVENT REPORT>
R100	A valid MRN or SAD Number confirmed against Customs data, according to the Customs case. MRN = Movement Reference Number SAD = Single Administrative Document
R103	An existing identifier <Control Report Reference> in the set of <CONTROL REPORT>
R109	The format of <Control Report Reference> is defined in "FESS Appendix B"
R156	An existing <Administrative Cooperation Request Reason Code> in the list of <ACO_REQUEST REASON>
R194	Refers to <Body Record Unique Reference> of an e-AD Body AND must be unique per "E-AD Split Details"
R196	In case of standard submission (i.e. <Message type> is "Standard submission"), the possible values of <Destination Type Code> are: - 1 = Destination - Tax warehouse - 2 = Destination - Registered consignee - 3 = Destination - Temporary registered consignee - 4 = Destination - Direct delivery - 5 = Destination - Exempted consignee - 6 = Destination - Export - 8 = Unknown destination (consignee unknown) In the particular case of submission for export (local clearance) (i.e. <Message type> is "2: Submission for export (local clearance)"), the value of <Destination Type Code> must be set to: 6 = Destination - Export
R204	The "Tax Warehouse Reference" shall be one of the <TAX WAREHOUSE.Reference of Tax Warehouse> such that there exists at least one Active version having its Validity Interval intersecting the Validity Interval of the <TRADER AUTHORISATION>, after the Activation Date of the latter, by at least one day.
R205	The "Tax Warehouse Reference" shall be the same as one of the <(USING) TAX WAREHOUSE.Reference of Tax Warehouse> within one or more <TRADER AUTHORISATION> data groups of "Authorised Warehouse Keeper" type satisfying also Rule 204.
R206	The value of the <Operator Type Code> Data Item cannot be changed after the creation of the TRADER AUTHORISATION.
R207	The <Excise Products Category Code> of the <Excise Product Code> must not exist inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>.
R208	The <Excise Products Category Code> must be unique in the list of <EXCISE PRODUCTS CATEGORY> inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>.
R209	The <Excise Product Code> must be unique in the list of <EXCISE PRODUCTS> inside the same <TRADER AUTHORISATION>, <TAX WAREHOUSE>, or <TEMPORARY AUTHORISATION>.
R211	If the excise product code is anything but S500 THEN the CN code must be taken from the corresponding list of <CN CODE>.
R215	IF <MOVEMENT GUARANTEE.Guarantor Type Code> is 'No guarantee is provided according to Article 18.4(b) of 2008/118/EC' THEN <Excise Product Code> must be an energy product
R216	IF <MOVEMENT GUARANTEE.Guarantor Type Code> is 'No guarantee is provided according to Article 18.4(b) of 2008/118/EC' THEN <Transport Mode Code> must be 'Sea Transport' or 'Fixed transport installations'
R232	The value of the Data Item must be greater than zero.



4. LISTA DE REGLAS TECNICAS:

Código	Descripción
TR0104	The HEADER Data Group is required for rejecting IE801, IE802, IE803, IE810, IE813, IE818, IE837, IE904, IE905 messages. It must not be present for rejecting all other messages (refer to Section "Design Principles - Exception Handling - Syntactic layer" of DDNEA for EMCS Phase 3 Main Document).
TR9001	Action identification is identification for the occurrence within the Information Exchange Message. Although the content of the Action identification can be chosen freely by the producer of the change, it must be unique within the scope of the transaction. This is a technical attribute added to identify the occurrence in the enclosing transaction (message) and intended to enable unique definition of error cases.
TR9080	Within the "REFERENCE SYNCHRONISATION" Data Group, the "Period from date" must be prior or equal to the "Period to date".
TR9110	The value of this attribute shall be: - 0: for retrieval (or missing in order to enable backward compatibility); - 1: for extraction; - 2: for non-invalidated records.
TR9115	In case that an extraction is requested, the 'Period from date' and/or the 'Period to date' fields will be used to request occurrences valid in the specified period. On the contrary, in case retrieval is requested, the 'Period from date' and/or the 'Period to date' fields will be used to request occurrences modified and published in the specified period.
TR9120	The value of this field shall be '1' in case a Status Synchronisation Request is requested. The value of this attribute shall be '2' in case a Movement History Request is requested. If it is not used a Status Request/Response will be performed.
TR9121	The field is Required for: - response messages (IE821, IE905*, and IE934); - refusal messages (IE702, IE704, IE714, IE906, and IE917); and - the resubmitted lost/delayed messages as a result of status synchronisation request (IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905*). It does not apply for requests and one way messages. (*) An IE905 message can be a response message or a one way message (manual closure). In the former case, the field is Required. In the latter case, the field does not apply. However, the field is Required when an IE905 for manual closure is resubmitted as a result of status synchronisation request.